

FEB 5 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Xaver WIRTH et al. Conf. No.: 5577  
Serial No.: 10/578,319 Art Unit: Not yet assigned  
Filed: May 4, 2006 Examiner: Not yet assigned  
For: BRAKE DISK, ESPECIALLY FOR A RAIL VEHICLE

**SUBMISSION UNDER 37 C.F.R. §1.56, §1.97 & §1.98**  
**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Barnes & Thornburg Customer No:

**23646**

U.S. Patent and Trademark Office

Sir:

To comply with the duty of disclosure set forth in 37 C.F.R. §1.56, the prior art listed on the attached PTO-1449 is submitted herewith to the Examiner for consideration in connection with the examination of the above-identified application.

Document AA (US 6,116,386) is equivalent to Document AS (DE 197 27 333).

Document AB (US 6,808,050) is equivalent to Document AT (DE 100 28 958).

Document AC (US 3,295,641) is equivalent to Document AU (DE 15 30 241).

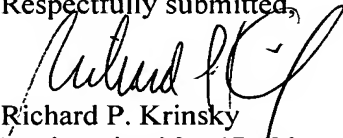
Documents AO-AR are cited in the International Search Report.

Documents AP, AQ and AS-AW were cited during the German Examination.  
Documents AO, AQ, AS and AV-AW are also cited in the above-identified application.

English language abstracts are provided for Documents AO-AR, AV and AW.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 02-1010 (566/44949).

Respectfully submitted,

  
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Enclosures  
98507v1

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE**LIST OF DOCUMENTS CITED BY APPLICANT**  
(Use several sheets if necessary)ATTY. DOCKET No.  
566/44949SERIAL No.  
10/578,319APPLICANT  
XAVIER WIRTH ET AL.FILING DATE  
MAY 4, 2006GROUP  
NOT YET ASSIGNED**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,116,386	09/12/2000	MARTIN			
	AB	6,808,050	10/26/2004	LEHMANN ET AL.			
	AC	3,295,641	01/03/1967	EATON ET AL.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							Yes	No
	AO	EP 0 644 349 A1	03/22/1995	EUROPE				Abs.
	AP	DE 38 14 614 A1	11/09/1989	GERMANY				Abs.
	AQ	DE 100 47 980 C2	04/18/2002	GERMANY				Abs.
	AR	EP 0 159 639	10/30/1985	EUROPE				Abs.
	AS	DE 197 27 333 C2	01/28/1999	GERMANY				
	AT	DE 100 28 958 A1	02/14/2002	GERMANY				
	AU	DE 15 30 241	10/09/1969	GERMANY				
	AV	EP 0 683 331 B1	11/22/1995	EUROPE				Abs.
	AW	EP 0 589 408 B1	03/30/1994	EUROPE				Abs.

**OTHER PRIOR ART** (Including Author, Title, Date Pertinent Pages, Etc.)

	AW	
	AX	
	AY	
	AZ	

EXAMINER:

DATE CONSIDERED:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.